

FINANCIAL SERVICES REGULATORY FRAMEWORK: CYSEC ADVANCED LEVEL

CySEC ADVANCED LEVEL EXAM PREPARATION COURSE

32 CPD UNITS

COURSE DETAILS

As per EU Directives, professionals wishing to provide Investment Services and employed by Cypriot Investment Firms, Credit Institutions, Management Companies, and Investment Companies of Variable Capital, is compulsory to acquire a Professional Competence Certificate that reflects the ability to carry out such activities. These certifications are offered by the Cyprus Securities and Exchange Commission (CySEC) and can be obtained through the exams: a) Basic Financial Services Legal Framework Examination and b) Advanced Financial Services Legal Framework Examination. This course is preparing participants to attend the Advanced Level CySEC exam. Aim of the exam is to ensure that candidates can apply an appropriate level of knowledge and understanding of the financial services regulatory framework that will assist them in the performance of their duties. Successful candidates will be certified and eligible to perform all the investment services/activities of paragraph 4 of the Directive. The CySEC Advanced Examination is addressed to individuals who wish to be certified for all the investment services/activities of paragraph 4 of the Directive.

TARGET GROUP

This course is designed for existing and prospective professionals in the financial sector; existing and prospective employees of Banks, CIFs as well as UCITS and AIF managers responsible for the provision of investment services; Compliance Officers; Internal Auditors; Anti-Money Laundering Compliance Officers and Risk Managers of Banks, Cypriot Investment Firms (CIFs) as well as UCITS and AIF managers · Financial and Professional Services Consultants.

INSTRUCTOR- KONSTANTINOS MICHAELIDES (BENG, MBA, ACA)

Konstantinos Michaelides currently leads the Compliance Function of Flashpoint Venture Capital. In the past, Konstantinos worked with Arthur Andersen and BDO in Audit Departments and held a number of Finance and Accounting Head in multinational and listed organizations like Coca Cola Hellenic and P&G. He has extensive experience in streamlining Finance functions, reorganizations, and debt restructuring. Konstantinos has demonstrated unique teaching abilities in University and Finance Industry courses integrating theory with practical life examples to trigger students' interest. He is a CySEC Advanced, Basic & AML Examination tutor for Fund Industry professionals and a Chartered Accountant, member of ICAEW and ICPAC. Konstantinos developed a web-based examination tool that is integrated on the CySEC Advanced Revised Workbook (version 4.0) and assess readiness of candidates to pass the CySEC Advanced Revised Examination. Participants will be given **FREE ACCESS** to this examination tool.

TOPICS TO BE COVERED

- Investment Services Law 2017: Scope/Powers/Offences
- Cypriot Investment Firms (CIFs)
- Cypriot Investment Firms (CIFs) and Banks
- Open-Ended Undertakings for Collective Investment (UCI) Laws (2012–2019)
- Alternative Investments
- The Business of Credit Institutions Laws of 1997–2016
- The Prevention and Suppression of Money Laundering and Terrorist Financing Laws
- Markets in Financial Instruments Regulation (MiFIR)
- Capital Adequacy Requirements
- European Market Infrastructure Regulation (EMIR)
- Recovery and Resolution Laws
- Prospectus Law
- Transparency Laws
- Insider Dealing and Market Manipulation Regulation (2016)



DATES & TIMINGS

LIVE ONLINE SESSIONS
21/02 - 16/04

21.02	MON	18:00 - 20:15
23.02	WED	18:00 - 20:15
28.02	MON	18:00 - 20:15
02.03	WED	18:00 - 20:15
09.03	WED	18:00 - 20:15
14.03	MON	18:00 - 20:15
16.03	WED	18:00 - 20:15
21.03	MON	18:00 - 20:15
23.03	WED	18:00 - 20:15
28.03	MON	18:00 - 20:15
30.03	WED	18:00 - 20:15
04.04	MON	18:00 - 20:15
06.04	WED	18:00 - 20:15
11.04	MON	18:00 - 20:15
16.04	SAT	09:00 - 13:30

COST: €845
HRDA SUBSIDY: €544

**FOR SELF-FUNDED
INDIVIDUALS A 35%
DISCOUNT APPLIES ON
PAID BOOKINGS**

**LANGUAGE OF
INSTRUCTION
ENGLISH**