



CYSEC ADVANCED EXAM PREP COURSE

FINANCIAL SERVICES REGULATORY FRAMEWORK: CYSEC ADVANCED LEVEL

32 CPD UNITS

COURSE DESCRIPTION

As per EU Directives, professionals wishing to provide Investment Services and employed by Cypriot Investment Firms, Credit Institutions, Management Companies, and Investment Companies of Variable Capital, is compulsory to acquire a Professional Competence Certificate that reflects the ability to carry out such activities. These certifications are offered by the Cyprus Securities and Exchange Commission (CySEC) and can be obtained through the exams: a) Basic Financial Services Legal Framework Examination and b) Advanced Financial Services Legal Framework Examination. This course is preparing participants to attend the Advanced Level CySEC exam. Aim of the exam is to ensure that candidates can apply an appropriate level of knowledge and understanding of the financial services regulatory framework that will assist them in the performance of their duties. Successful candidates will be certified and eligible to perform all the investment services/activities of paragraph 4 of the Directive. The CySEC Advanced Examination is addressed to individuals who wish to be certified for all the investment services/activities of paragraph 4 of the Directive.

TARGET GROUP

This course is designed for Cypriot Investment Firms (CIFs) Managers, UCITS/AIF Managers, & Professional services Consultants, Risk Managers, AML Compliance Officers, Internal Auditors, Compliance officers in Banks & any other regulated entity.

INSTRUCTOR

Konstantinos Michaelides (BENG, MBA, ACA)

LANGUAGE OF INSTRUCTION

English

TOPICS TO BE COVERED

- Investment Services Law 2017
- Cypriot Investment Firms (CIFs)
- Cypriot Investment Firms (CIFs) & Banks
- Open-Ended Undertakings for Collective Investment (UCI) Laws (2012-2019)
- Alternative Investments
- The Business of Credit Institutions Laws of 1997-2016
- The Prevention & Suppression of Money Laundering & Terrorist Financing Laws
- Markets in Financial Instruments Regulation (MiFIR)
- Capital Adequacy Requirements
- European Market Infrastructure Regulation (EMIR)
- Recovery and Resolution Laws
- Prospectus Law
- Transparency Laws
- Insider Dealing & Market Manipulation Regulation (2016)

DATES & TIMINGS

LIVE ONLINE SESSIONS

01/11 - 06/12 | MONDAY & WEDNESDAY | 17.30-20.45

COST: €845 | HRDA SUBSIDY: €544 | FINAL COST: €301

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