

15 CPD UNITS

FINANCIAL SERVICES REGULATORY FRAMEWORK: CYSEC ADVANCED LEVEL EXAM PREP COURSE

As per EU Directives, professionals wishing to provide Investment Services and employed by Cypriot Investment Firms, Credit Institutions, Management Companies, and Investment Companies of Variable Capital, is compulsory to acquire a Professional Competence Certificate that reflects the ability to carry out such activities. These certifications are offered by the Cyprus Securities and Exchange Commission (CySEC) and can be obtained through the exams: a) Basic Financial Services Legal Framework Examination and b) Advanced Financial Services Legal Framework Examination. This course is preparing participants to attend the Advanced Level CySEC exam. Aim of the exam is to ensure that candidates can apply an appropriate level of knowledge and understanding of the financial services regulatory framework that will assist them in the performance of their duties. Successful candidates will be certified and eligible to perform all the investment services/activities of paragraph 4 of the Directive. The CySEC Advanced Examination is addressed to individuals who wish to be certified for all the investment services/activities of paragraph 4 of the Directive.

TARGET GROUP

This course is designed for Cypriot Investment Firms (CIFs) Managers, UCITS/AIF Managers, & Professional services Consultants, Risk Managers, AML Compliance Officers, Internal Auditors, Compliance officers in Banks & any other regulated entity.

LANGUAGE OF INSTRUCTION
English

TOPICS TO BE COVERED

Investment Services Law 2017
Cypriot Investment Firms (CIFs)
Cypriot Investment Firms (CIFs) & Banks
Open-Ended Undertakings for Collective Investment (UCI)
Laws (2012–2019)
Alternative Investments
The Business of Credit Institutions Laws of 1997–2016
The Prevention & Suppression of Money Laundering & Terrorist Financing Laws
Markets in Financial Instruments Regulation (MiFIR)
Capital Adequacy Requirements
European Market Infrastructure Regulation (EMIR)
Recovery and Resolution Laws
Prospectus Law
Transparency Laws
Insider Dealing & Market Manipulation Regulation (2016)

INSTRUCTOR

Konstantinos Michaelides (BENG, MBA, ACA)



DATES & TIME

LIVE ONLINE WEBINAR

08/04 - 22/04 | MON & WED | 17.30-20.45

COST: €540 | HRDA SUBSIDY: €300 | FINAL COST: €240

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