



Cyprus College

The School of Professional Studies

CySEC ADVANCED EXAM PREPARATION COURSE

FINANCIAL SERVICES REGULATORY FRAMEWORK: CYSEC ADVANCED LEVEL

32 CPD UNITS

As per EU Directives, professionals wishing to provide Investment Services and employed by Cypriot Investment Firms, Credit Institutions, Management Companies, and Investment Companies of Variable Capital, is compulsory to acquire a Professional Competence Certificate that reflects the ability to carry out such activities. These certifications are offered by the Cyprus Securities and Exchange Commission (CySEC) and can be obtained through the exams: a) Basic Financial Services Legal Framework Examination and b) Advanced Financial Services Legal Framework Examination. This course is preparing participants to attend the Advanced Level CySEC exam. Aim of the exam is to ensure that candidates can apply an appropriate level of knowledge and understanding of the financial services regulatory framework that will assist them in the performance of their duties. Successful candidates will be certified and eligible to perform all the investment services/activities of paragraph 4 of the Directive. The CySEC Advanced Examination is addressed to individuals who wish to be certified for all the investment services/activities of paragraph 4 of the Directive.



DATES & TIMINGS



27/02 - 03/04

IMPLEMENTATION DATES

27.02 MON 18:15 - 21:30
 02.03 THUR 18:15 - 21:30
 06.03 MON 18:15 - 21:30
 09.03 THUR 18:15 - 21:30
 13.03 MON 18:15 - 21:30
 16.03 THUR 18:15 - 21:30
 20.03 MON 18:15 - 21:30
 23.03 THUR 18:15 - 21:30
 27.03 MON 18:15 - 21:30
 30.03 THUR 18:15 - 21:30
 03.04 MON 18:15 - 21:30



COST: €845 |

HRDA SUBSIDY: €544 |

FINAL COST: €301 |

TOPICS TO BE COVERED

Investment Services Law 2017
 Cypriot Investment Firms (CIFs)
 Cypriot Investment Firms (CIFs) & Banks
 Open-Ended Undertakings for Collective
 Investment (UCI) Laws (2012-2019)
 Alternative Investments
 The Business of Credit Institutions Laws
 of 1997-2016
 The Prevention & Suppression of Money
 Laundering & Terrorist Financing Laws

Markets in Financial Instruments
 Regulation (MiFIR)
 Capital Adequacy Requirements
 European Market Infrastructure
 Regulation (EMIR)
 Recovery and Resolution Laws
 Prospectus Law
 Transparency Laws
 Insider Dealing & Market
 Manipulation Regulation (2016)

TARGET GROUP

Compliance Officers in Banks &
 any other regulated entity
 Internal Auditors
 AML Compliance Officers
 Risk Managers

Cypriot Investment Firms (CIFs)
 Managers
 UCITS and AIF managers
 Financial & Professional Services
 Consultants

Participants will be given **FREE** access to a web-based examination tool that is integrated CySEC Advanced Revised Workbook (v.4.0) and assess readiness of candidates to pass the CySEC Advanced Revised Examination.

LANGUAGE OF INSTRUCTION: ENGLISH

INSTRUCTOR: SOPHIA NEARCHOU

Sophia Nearchou currently leads the Compliance Function of a law firm in Limassol. She has extensive experience in streamlining Finance functions, has advise clients from all financial sectors regarding regulatory compliance matters and has also delivered a number of courses relating to compliance. Sophia has demonstrated unique teaching abilities in University and Business Law courses integrating theory with practical life examples to trigger students' interest. She is a CySEC Advanced, & AML Examination holder, member of CAMS and CISI and a licensed lawyer by the Cyprus Bar.

Take the **next step UP** in your career. Sign up now!
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